Attorney's Docket No.: 10851-008US Client's Ref. No.: T001165



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sergio Fantini, Ph.D.

Art Unit : 3736

Serial No.: 10/507,336 V

Examiner: Unknown

Filed

: June 28, 2005

Title

: OPTICAL IMAGING AND OXIMETRY OF TISSUE

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Reg. No. 32,983

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Substitute Form PTO-1449
P (Prodified) U.S. Department of Commerce Attorney's Docket No. Application No. Patent and Trademark Office 10851-008US1 10/507,336 Applicant **Information Disclosure Statement** by Applicant (Use several sheets if necessary) Sergio Fantini, Ph.D. DEC 2 2 2005 Filing Date Group Art Unit September 10, 2004 , 1.98(b))

	U.S. Patent Documents							
Exam Init		Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
		AA	5,830,141	11/03/1998	Makram-Ebeid et al.			
		AB	5,285,783	02/15/1994	Secker			
		AC	6,226,540 B1	05/01/2001	Bernreuter			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes No

	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AD	Cerussi, A.E. et al., "Spectroscopy enhances the information content of optical mammography", Journal of Biomedical Optics 7, pp. 60-71, 2002.
	AE	Dehghani, H. et al., "Multiwavelength three-dimensional near-infrared tomography of the breast: initial simulation, phantom, and clinical results", <i>Applied Optics</i> 42, pp. 135-145, 2003.
	AF	Fantini, S. et al., "Frequency-domain optical mammography: Edge effect corrections", <i>Medical Physics</i> 23, pp. 149-157, 1996.
	AG	Fantini, S. et al., "Assessment of the Size, Position, and Optical Properties of Breast Tumors in Vivo by Non-Invasive Optical Methods", Applied Optics 37, pp. 1982-1989, 1998.
	АН	Franceschini, M.A. et al., "Frequency-Domain Techniques Enhance Optical Mammography: Initial Clinical Results", <i>Proceedings of the National Academy of Science of the USA</i> 94, pp. 6468-6473, 1997.
	AI	Grosenick, D. et al., "Concentration and oxygen saturation of haemoglobin of 50 breast tumors determined by time-domain optical mammography", <i>Physics in Medicine and Biology</i> <b>49</b> , pp. 1165-1181, 2004.
	AJ	Hanson, K.M., presentation entitled "Optical tomography: seeing inside the body", available from http://public.lanl.gov/kmh/talks/graz99.pdf, 26 April 1999.
	AK	Heffer, E.L. and Fantini, S., "Quantitative oximetry of breast tumors: A novel, near-infrared method that identifies two optimal wavelengths for each tumor", <i>Applied Optics</i> 41, pp. 3827-3839, 2002.
	AL	Heffer, E.L. et al., "Near-infrared imaging of the human breast: Complementing hemoglobin concentration maps with oxygenation images", <i>Journal of Biomedical Optics</i> 9, pp. 1152-1160, 2004.
	AM	Hohenberger, P. et al., "Tumor oxygenation correlates with molecular growth determinants in breast cancer", Breast Cancer Research and Treatment 48, pp. 97-106, 1998.
	AN	Hoogenraad, J.H., "First Results from the Philips Optical Mammoscope", Photon Propagation in Tissues III (D. Benaron, B. Chance, and M. Ferrari, eds.), Proceedings of the SPIE 3194, pp. 184-190, 1998.

Examiner Signature	Date Considered
	• ,
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	t in conformance and not considered. Include copy of this form with

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10851-008US1	Application No. 10/507,336
IV	closure Statement plicant	Applicant Sergio Fantini, Ph.D.	
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date September 10, 2004	Group Art Unit

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.				
Initial	ID	Document			
	AO	Kaschke, M. et al., "Transillumination Imaging of Tissue by Phase Modulation Techniques", Advances in Optical Imaging and Photon Migration (R.R. Alfano, ed.), Proceedings of the Optical Society of America 21, pp. 88-92, 1994.			
	AP	Peters, V.G. et al., "Optical Properties of Normal and Diseased Human Breast Tissues in the Visible and Near-Infrared", <i>Physics in Medicine and Biology</i> 35, pp. 1317-1334, 1990.			
	AQ	Vaupel, P., Kallinowski, F. and Okunieff, P., "Blood Flow, Oxygen and Nutrient Supply, and Metabolic Microenvironment of Human Tumors: A Review", Cancer Research 49, pp. 6449-6465, 1989.			
	AR	Yamashita, Y. and Kaneko, M., "Visible and Infrared Diaphanoscopy for Medical Diagnosis," in Medical Optical Tomography: Functional Imaging and Monitoring, Vol. IS11 of SPIE Institutes for Advanced Optical Technologies (G.J. Muller et al., eds.), SPIE Optical Engineering Press: Bellingham, Washington, 1993, pp. 283-316.			

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